



02-14-02

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

**SATO ET AL.**

Serial No.: 10/042,703

Filed: March 21, 2002

Art Unit:

Examiner:

Atty. Docket No.: JG-YY-5032C/500569.20090

**DNA CHIP AND ITS PREPARATION**

Commissioner for Patents  
**BOX SEQUENCE**  
P.O. BOX 2327  
Arlington, VA 22202

**RESPONSE TO "NOTICE TO COMPLY"**  
**AND**  
**STATEMENT**

Dear Sir:

In response to the Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures with a date of mailing of December 13, 2002, Applicants submit the following. This response is timely filed with no extension necessary.

Please enter the attached substitute sequence listing in the above-referenced application.

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

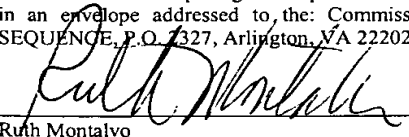
I hereby certify that this paper (along with any referred to as being attached or enclosed) is being

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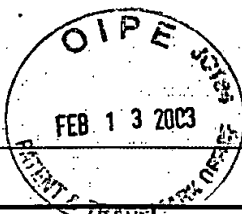
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☒ deposited with the United States Postal Service on February 13, 2003 with sufficient postage as Express Mail No. EV 168 022 695 US in an envelope addressed to, the: Commissioner for Patents, BOX SEQUENCE, P.O. 2327, Arlington, VA 22202.

☐ transmitted by facsimile on [date] to the U.S. Patent and Trademark Office.

  
Ruth Montalvo

\_\_\_\_\_  
(Signature of person mailing paper or fee)



Commissioner for Patents  
Washington, DC 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/042,703	03/21/2002	Tadahisa Sato	JG-YY-5032C/500569.20090

**CONFIRMATION NO. 3077**

Jules E. Goldberg  
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17th Floor  
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New York, NY 10152

**FORMALITIES LETTER**



\*OC00000009241981\*

Date Mailed: 12/13/2002

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS  
CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE  
DISCLOSURES**

*Filing Date Granted*

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

**For questions regarding compliance to these requirements, please contact:**

- For Rules Interpretation, call (703) 308-4216
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*A copy of this notice **MUST** be returned with the reply.*

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PART 2 - COPY TO BE RETURNED WITH RESPONSE